

# Cross-layer and Dynamic Network Orchestration based on Optical Performance Monitoring

K. Christodoulopoulos<sup>1,2</sup>, I. Sartzetakis<sup>1,2</sup>, P. Soumplis<sup>3</sup>, E. Varvarigos<sup>1,2</sup>

<sup>1</sup>Computer Technology Institute, Greece

<sup>2</sup>School of Electrical and Computer Engineering, National Technical University of Athens, Greece

<sup>3</sup>Computer Engineering and Informatics, University of Patras, Greece



# Outline

- Motivation
- ORCHESTRA solution
- Monitoring based QoT estimation
- QoT aware dynamic adaptation
- Planning with reduced margins

# Motivation

Provision lightpaths with acceptable quality of transmission (QoT)

❌ Uninterrupted operation until End-of-life (EOL)

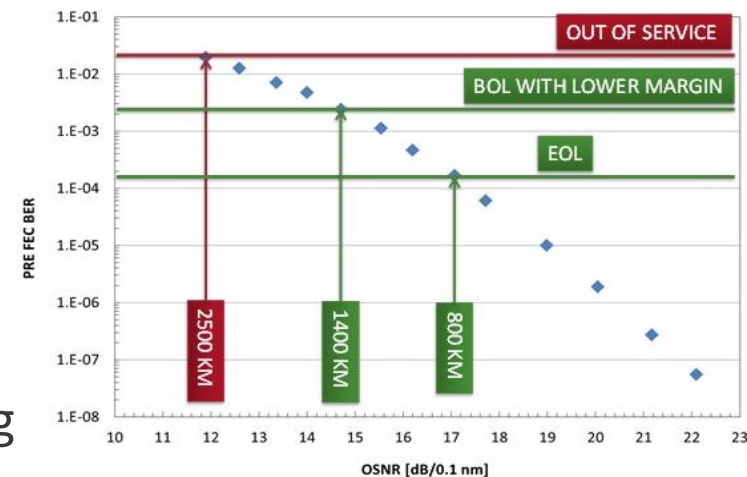
→ QoT estimation with EOL margins

- Equipment (amps, transceivers) ageing
- Higher interference (traffic increase)
- Maintenance (e.g. splices for fiber cuts)
- Estimation model inaccuracy (design margin)

❌ EOL margins: low efficiency, overprovisioning

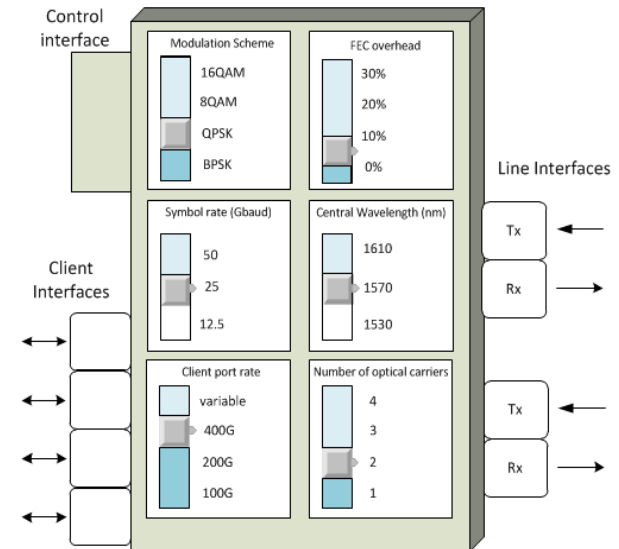
✅ Reduced margins improves efficiency & reduces or postpones investments

❌ BER issues (soft-failures) arise, no current mechanisms to solve them



# Motivation

- ❌ Physical layer monitoring information is barely used in the network lifecycle
  - ❌ Provisioning inefficiencies are never corrected
  - ❌ Failures are treated as black or white
  - ❌ Tunable transceivers provide vast optimization options, but are not efficiently configured without physical layer feedback



- ✅ ORCHESTRA proposes to close the loop between the physical layer and the control plane
  - ✅ Improve QoT estimation accuracy
  - ✅ Dynamically identify and solve soft-failures
  - ✅ Enable the reduction of provisioning margins

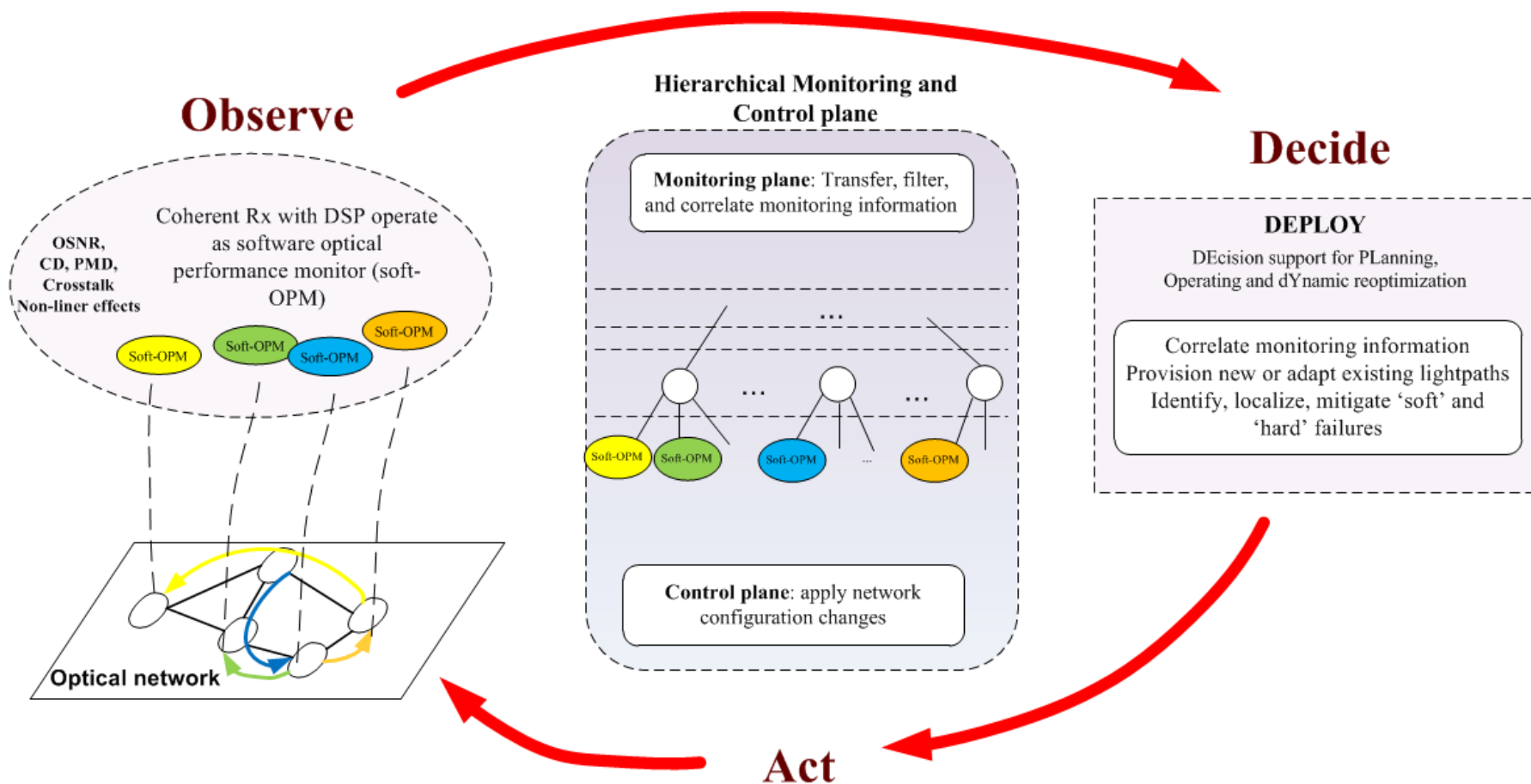
# Closing the control loop

*An optical network has to be observable before it can become controllable and be subject to optimization*

- ORCHESTRA proposes to close the control loop by enabling physical layer observability
- **Observability** relies on the coherent receivers that are extended, almost for free, to operate as software defined optical performance monitors (soft-OPM)
- Physical layer information of single or correlated from multiple OPMs is used to take better optimization **decisions**
- Re-**acting** dynamically on the network to increase its efficiency

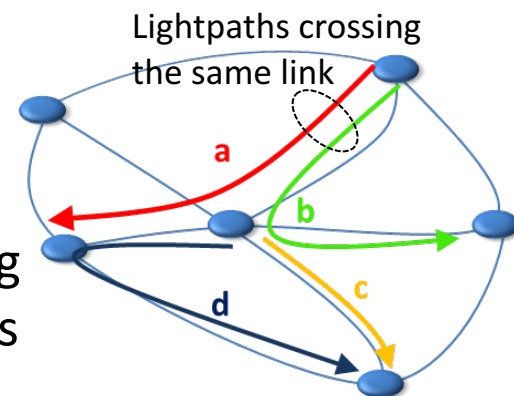


# The big picture



# Monitoring based QoT estimation

- Use monitoring information (feedback) from the Rx (OPM)
- Correlate information of multiple Rx taking into account their paths
  - Lightpaths cross several links and the Rx report “aggregated” measurements
  - Lightpaths crossing the same link give information about it
- Estimate the QoT of new or upgraded lightpaths with high accuracy
  - Actual ageing state of the network
  - Actual interference – more on this in the following
  - Low design margin (estimation model inaccuracy)
- Previous work [1][2] estimated QoT but only considering linear effects and previous generation 10 Gbps networks



[1] N. Sambo, et al, “Lightpath Establishment Assisted by Offline QoT Estimation in Transparent Optical Networks,” J. Opt. Com. Netw., 2010.

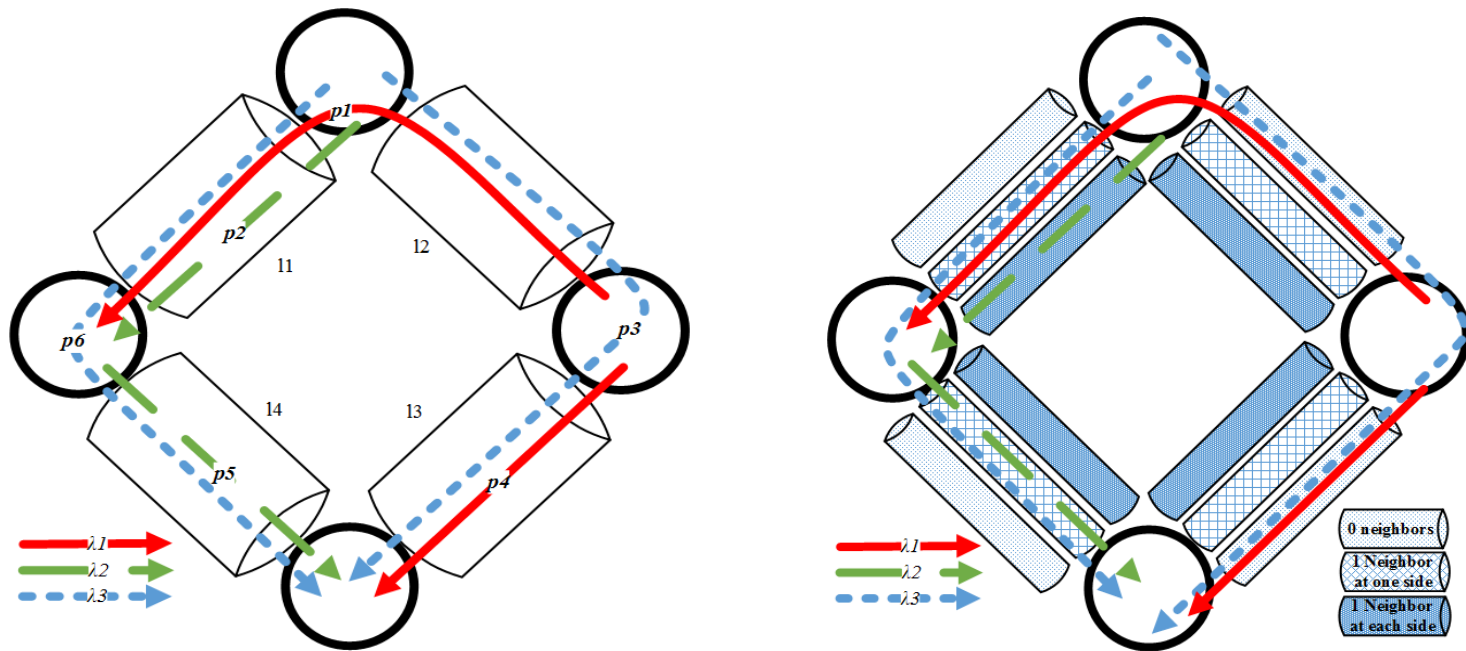
[2] Y. Pointurier, M. Coates, M. Rabbat, “Cross-layer Monitoring in Transparent Optical Networks,” J. Opt. Commun. Netw., 2011.

# QoT estimation - Background

- Rx (OPM) provides information for the SNR of the lightpath
  - SNR accounts for all impairments: amplifiers noise (ASE), residual (Chromatic, Polarization mode) dispersion, Non Linearities (NLI)
    - Or we use the estimation framework for each of these parameters
  - The BER is calculated from the SNR value (for known modulation format and FEC)
- Assumption: the inverse of SNR is additive per link
- For validation we used the GN model [1] as the ground truth
  - Monitored values from OPMs would be used in a real network
  - Ongoing: testbed/field trials to obtain real network monitoring data and re-evaluate the accuracy

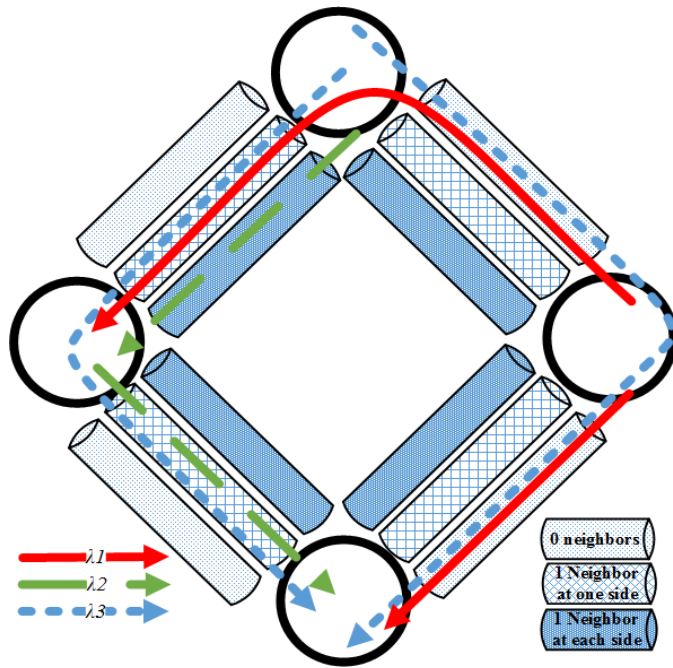
[1] P. Poggiolini, "The GN Model of Non-Linear Propagation in Uncompensated Coherent Optical Systems", IEEE/OSA JLT 2012

# Accounting for interference



- Assumption: lightpaths with same position of neighbors experience equal interference
- Interference aware (IA)-graph
  - Replace a link by a set of *IA-links* representing the number & position of neighbors
  - Route lightpaths on the IA-graph according to their neighbors

# QoT estimation formulation



Input:

- $\mathbf{m}$  set of monitored lightpaths,  $\mathbf{n}$  set of lightpaths to-be-estimated
- $\mathbf{y}_m$  vector of end-to-end (monitored) parameter of known lightpaths  $\mathbf{m}$
- $G \{0,1\}^{P \times L}$ : routing matrix,  $G_{p,l}=1$  when lightpath  $p$  uses link  $l$ ,  $G = [G_m \ G_n]$

Unknown:

- $\mathbf{y}_n$ : vector of end-to-end parameters of lightpaths  $\mathbf{n}$  (to be estimated)
- $\mathbf{x}$ : vector of *link-level* parameters

Estimation problem:  $[\mathbf{y}_m \ \mathbf{y}_n] = [G_m \ G_n] \cdot \mathbf{x}$ , Parameter  $\mathbf{y} = 1/\text{SNR}$

Estimate  $\mathbf{y}_n$  with Network Kriging (NK) or Norm Minimization (NM) technique [1]

- Run NK on IA-graph: calculated SNR (and BER afterwards) takes into account interference
- Database (DB) to store past measurement data

[1] N. Sambo, et al, "Lightpath Establishment Assisted by Offline QoT Estimation in Transparent Optical Networks," J. Opt. Com. Netw., 2010.

# Accuracy Results

- NSFNET topology, 100G PM-QPSK with (i) 28 Gbaud, (ii) 28 and 32Gbaud

- Dynamic provisioning of lightpaths

For a lightpath:

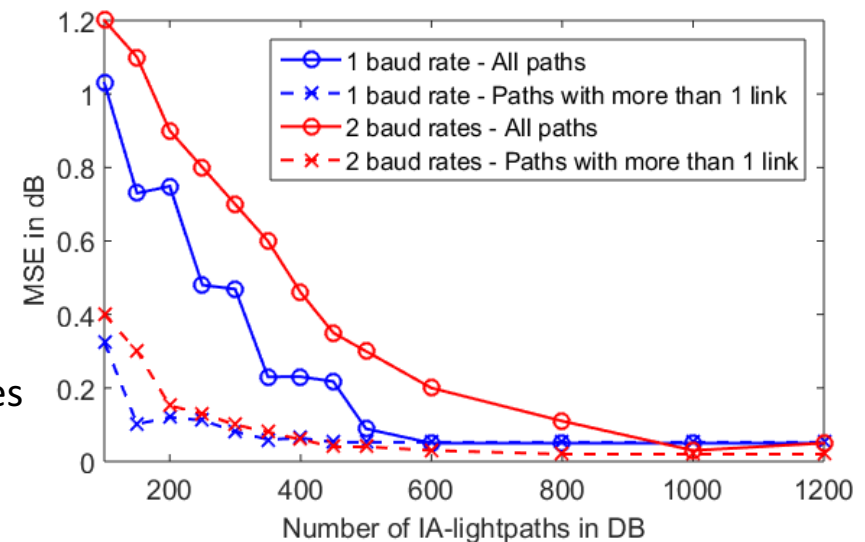
- use database (DB) with stored values
- estimate its BER with proposed algorithm
- establish and use GN model to “measure” BER
- Insert measurement in DB, find estimation error

- Establishing a lightpath creates multiple DB entries

- High MSE for single link lightpaths (unimportant)

- More baudrates, more IA-links, higher MSE

- Maximum underestimation (**design margin**): 0.1dB (1000 IA-lightpaths in DB)



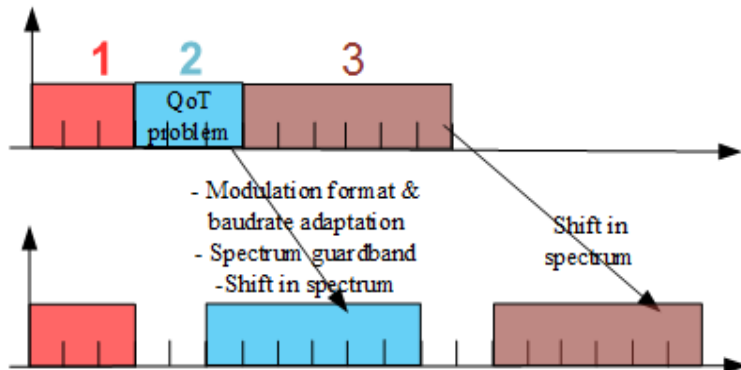
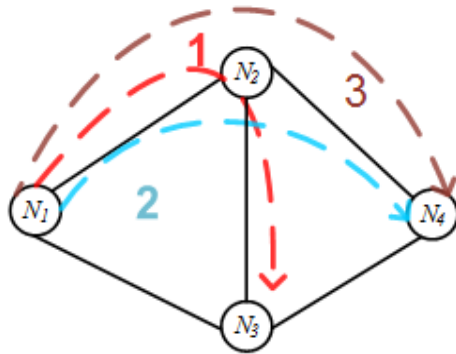
## Papers:

- I. Sartzetakis, K. Christodoulopoulos, C. Tsekrekos, D. Syvridis, E. Varvarigos, "Quality of Transmission Estimation Accounting for Space-Spectrum Dependencies," JOCN, 2016
- I. Sartzetakis, K. Christodoulopoulos, C. P. Tsekrekos, D. Syvridis, E. Varvarigos, "Estimating QoT of Unestablished Lightpaths," OFC, 2016.

# QoT aware reconfiguration

- Lightpaths operated close to their capabilities, are susceptible to *soft-failures*
  - Soft-failure: progressive (ageing, increased interference by new connections) or sudden (malfunctioning) QoT degradation
  - hard-failure: total loss of the signal (fiber cut, equipment break-down)
- Propose: a Toolkit to decide on reconfiguration actions to restore QoT
  - Triggered by alarms from Rx – OPM (thresholds and alarm types are configurable)
  - Considers the combination of three reconfiguration actions
    - Increase the FEC overhead
    - Create spectrum guard-band to decrease interference
    - Change the modulation format
  - Examines the actions taking into account their control plane overhead
  - Use the QoT estimation module previously described to estimate if problem is solved

# Adaptation Actions



- Actions to increase QoT of a problematic lightpath
  - i. FEC adaptation
  - ii. Spectrum guard-band creation
  - iii. Modulation format adaptation
- All actions require extra spectrum
  - In cases i and iii we increase the baud-rate to compensate for the lost net rate
  - Case ii, by definition, requires extra spectrum
- Providing extra spectrum relies on the hitless frequency push-pull technique[1]
- Although push-pull is hitless we prefer to avoid using it on many lightpaths

# Actions & control plane overhead

- Investigated adaptation actions in increasing control overhead order:
  - The FEC adaptation requires one extra spectrum slot
  - The spectrum guard-band requires several slots
  - The modulation format adaptation also requires several slots and is considered the most expensive (hitless transition would require IP rerouting)
- Algorithmic toolkit
  - Examines actions of the same class
  - Leverages the QoT estimation tool to check if the problem is solved
  - Calculates the control overhead (number of lightpaths to push-pull) to free the required slots
  - Selects the action that solves the problem and has the lowest control overhead
  - If the problem is not solved it moves to higher control overhead actions

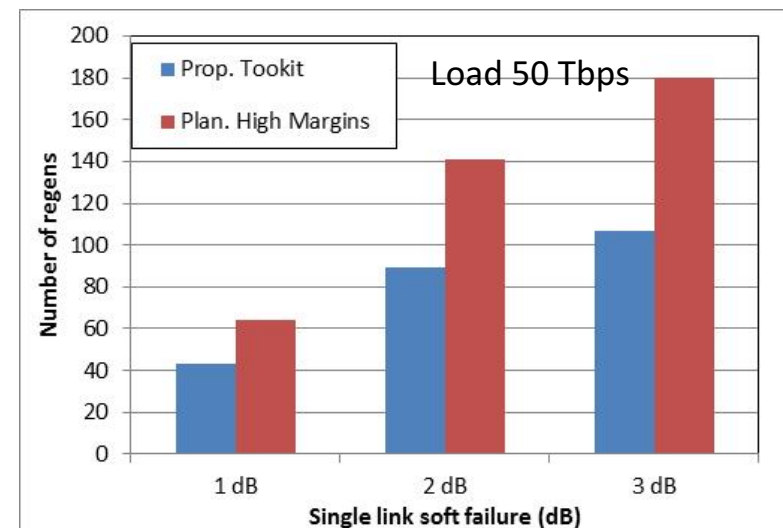
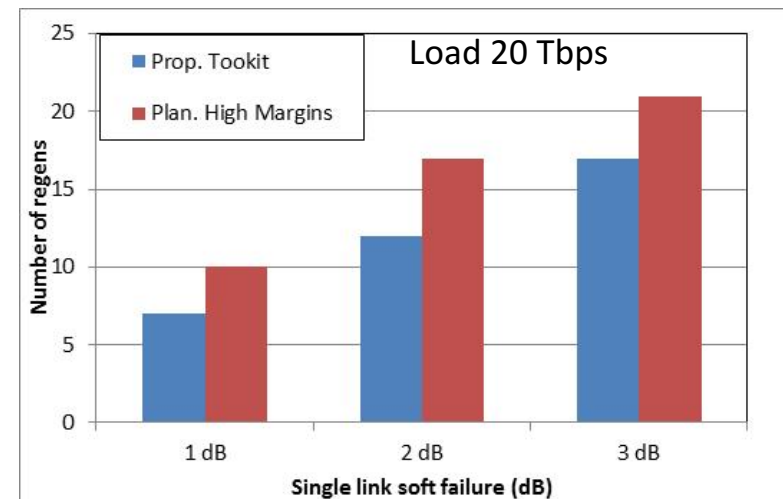
# Performance results



- Simulate single link soft failures (e.g. equipment malfunctioning)
- Topology inspired by Telecom Italia European backbone
- Net rates: 100G, 200G
- Mod. Formats: PM-QPSK, PM-16QAM
- Baud-Rates: 28, 32, 56, 64 Gbaud
- FEC: 12%, 28% with BER thresholds -2.2dB and -1.88dB, respectively

# Equipment Savings

- Scenario
  - Plan the network to survive from any single-link degradation (e.g. equipment malfunctioning) of 1, 2 or 3 dB in SNR
  - Survive: lightpaths with acceptable QoT
- Compare
  - Toolkit (restoration)
    - Calculate actions to restore the QoT
    - If solution is not found, place regens
    - Regens are reused for different failures
  - Planning with high margins (protection)
    - Provision lightpaths with a margin to protect against any single-link failure
- At least 22%, at most 40% regens savings



# Provisioning with reduced margins

- Multi-period/incremental network evolution
- Each period new lightpaths are provisioned
  1. Traditional: with high margins to reach end-of-life with acceptable QoT
    - System margins: equipment ageing, interference increases, maintenance operations
    - Design margin: QoT estimation model inaccuracy
  2. With reduced margins
    - Requires accurate QoT estimation (actual system and low design margins)  
→ Discussed in the first part of this presentation
    - Each period check the QoT of previously established lightpaths and restore the QoT of those that are about to become unacceptable (solve soft-failures)  
→ Discussed in the second part of this presentation

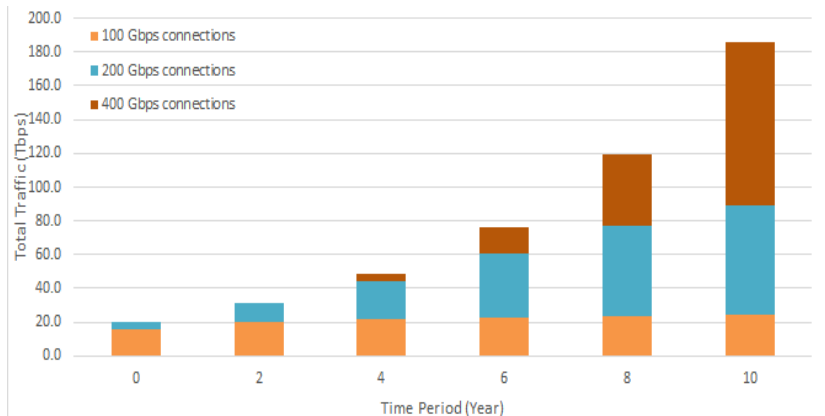
# RSA algorithm

- Generic RSA algorithm, applicable in both fixed- and flex-grid networks
- Input for time  $\tau_i$ 
  - Traffic matrix  $\Lambda(\tau_i)$  at time  $\tau_i$
  - Tunable (or not) TRx, described by a single or a set of transmission configuration  $t = \{\text{modulation format}_t, \text{baud rate}_t, \text{FEC}_t\}$
  - Equipment installed at previous time periods (up to  $\tau_{i-1}$ )
- 2 phases
  - Pre-processing phase
    - Calculate best (no interference) and worst (full load interference) reaches
    - For each demand  $(s,d)$  find the candidate (path, transmission configuration, regeneration points) options  $Q_{s,d}$
    - Each option uses  $S_{p,t,r}$  spectrum and has  $C_{p,t,r}$  cost
  - Serve demands one-by-one
    - For each transceiver, (path, transmission configuration, regeneration points) option,
    - Find free spectrum
    - Re-evaluate QoT taking into account the actual interference
    - Select the one that minimizes  $\text{Min}_{(p,t,r) \in Q_{s,d}} (w \cdot S_{p,t,r}(\tau) + (1-w) \cdot C_{p,t,r}(\tau))$

# Case study - Topology and traffic

Topology based on Telecom  
Italia's Pan-European backbone

72 uncompensated G.652 links  
49 Flexgrid ROADMs  
100 km uniform span length



- Multi-period planning for 10 years
  - Re-plan every 2 years
- 100, 200, 400 Gbps client rates matched with equal line rate TRx
  - 400 Gbps demands appear at 4th year

# Case study - Cost and ageing models

Network equipment	Relative Price at $\tau_0$ (C.U.)
Flexible TRx/ regen.	1.75
EDFA	0.15
WSS (1x20)	0.30
WSS (1x9)	0.20

Prices fall by 10% per year

Margins	BOL	EOL
System margin: Fiber attenuation coefficient (dB/km)	0.22	0.25
System margin: Noise Figure EDFA (dB)	4.5	5.5
System margin: Transponders sensitivity margin (dB)	1	1.5
System margin: Interference	Low load	full
Design margin (dB)	2	1

Assumption: linear evolution of margins between their Begin-of-life (BOL) and End-of-life (EOL) values

BOL – EOL= 10 years

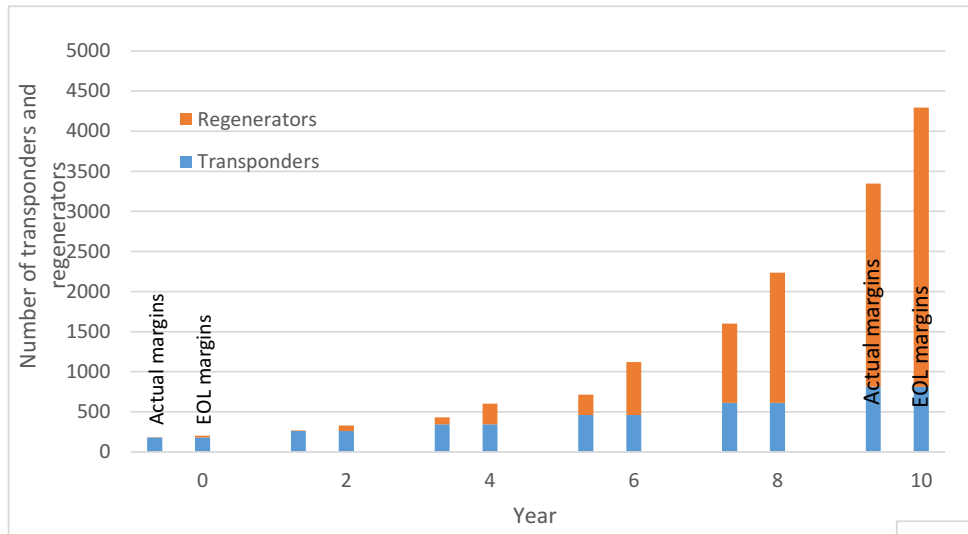
System margins increase

Design margin decreases (learn the network)

Compare provisioning with

- Actual margins: follow the system and design margins evolution
- Traditional – high margins: EOL system and BOL design margin

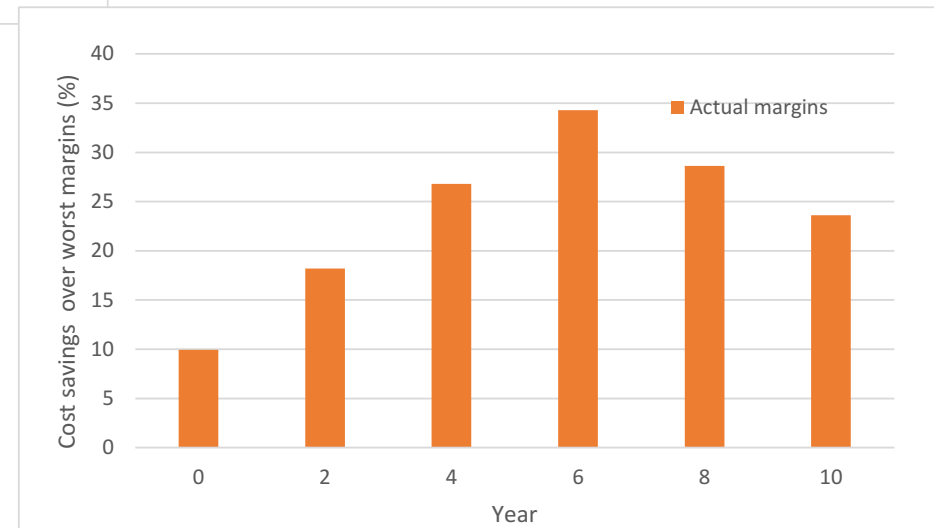
# Performance results - number



- Regenerators mainly added at the later periods when 400G lightpaths appear (short reach)
- Reducing the system margins postpones the purchase of equipment
- Reducing the design margin avoids the purchase

10% price depreciation per period

~24% savings for provisioning with *actual margins* as opposed to provisioning with high margins



# Conclusions

- ORCHESTRA proposes to close the control loop
- Use OPM information in cross-layer optimization decisions so as to control and operate the network close to its capabilities
- Accurate QoT estimation
- Reconfiguration actions to solve QoT problems
- Reduce provisioning margins

# Thank you



[kchristo@mail.ntua.gr](mailto:kchristo@mail.ntua.gr)